

*Original Investigations***Coupling Constants in the Direct Configuration Interaction Method**

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A general algorithm of evaluation of the coefficients of molecular integrals (coupling constants) appearing in the direct configuration interaction method is derived. The configurations are assumed to be spin-adapted antisymmetrized products of orthonormal orbitals. No limitation is imposed either upon the reference state (the number of the singly occupied orbitals may be arbitrary) or upon the excitation multiplicity.

**Key words:** Configuration interaction method, coupling constants in the direct ~

**1. Introduction**

Trends towards using in the configuration interaction (CI) method as long expansions as possible stimulate search of efficient methods of constructing the wavefunctions. A method formulated by Roos [1], and then developed by Roos and Siegbahn [2–4], known as the Direct CI method from Molecular Integrals (CIMI), seems to be most attractive. The wavefunction  $\langle \mathbf{r}, \boldsymbol{\sigma} | \Psi \rangle$  of an  $N$ -electron system is expressed as a superposition of Configuration State Functions (CSFs),  $\langle \mathbf{r}, \boldsymbol{\sigma} | k \rangle$ ,

$$\langle \mathbf{r}, \boldsymbol{\sigma} | \Psi \rangle = \sum_{k=0}^M C_k \langle \mathbf{r}, \boldsymbol{\sigma} | k \rangle, \quad (1)$$

where  $\mathbf{r}$  and  $\boldsymbol{\sigma}$  stand collectively for all space ( $\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_N$ ) and spin ( $\sigma_1, \sigma_2, \dots, \sigma_N$ ) coordinates, respectively. It is convenient to take the CSFs as Spin Adapted Antisymmetrized Products (SAAPs) of orthonormal orbitals  $\varphi_1, \varphi_2, \dots, \varphi_K$ . Matrix elements  $H_{kl} = \langle k | \mathcal{H} | l \rangle$  of a Hamiltonian

$$\mathcal{H} = \sum_{i=1}^N h_1(\mathbf{r}_i) + \sum_{i<j}^N h_2(\mathbf{r}_i, \mathbf{r}_j) \quad (2)$$

may then be expressed as linear combinations of integrals

$$[pq] = \int \varphi_p(\mathbf{r}_1)^* h_1(\mathbf{r}_1) \varphi_q(\mathbf{r}_1) dv_1 \quad (3)$$

and

$$[pq | rs] = \int \varphi_p(\mathbf{r}_1)^* \varphi_r(\mathbf{r}_2)^* h_2(\mathbf{r}_1, \mathbf{r}_2) \varphi_q(\mathbf{r}_1) \varphi_s(\mathbf{r}_2) d\mathbf{v}_{12}. \quad (4)$$

In the CI procedure the vector  $\mathbf{C} = \{C_0, C_1, \dots, C_M\}$  and the corresponding eigenvalue of the Hamiltonian matrix are obtained from a diagonalization procedure. Efficient iteration methods, recently formulated by Nesbet [5], Shavitt [6], and Davidson [7], all have a bottleneck in common: one must construct the Hamiltonian matrix  $\mathbf{H}$  and then, in each iteration, multiply it by the vector:

$$b_k^{(n+1)} = \sum_{l=0}^M H_{kl} C_l^{(n)}, \quad (5)$$

where  $(n+1)$  and  $(n)$  refer to the iteration number. All the other steps in the iteration scheme require negligible time [7]. The CIMI method is, in fact, a method of finding the product vector  $\mathbf{b}^{(n+1)} = (b_0^{(n+1)}, b_1^{(n+1)}, \dots, b_M^{(n+1)})$  without the construction of the matrix  $H_{kl}$ , but directly from the list of the integrals (3) and (4). The formula (5) may be rewritten as:

$$b_k^{(n+1)} = \sum_{pq} [pq] \sum_{i=0}^M A_1(kl; pq) C_i^{(n)} + \sum_{pqrs} [pq | rs] \sum_{i=0}^M A_2(kl; pqrs) C_i^{(n)}, \quad (6)$$

where the coupling constants  $A_1(kl; pq)$  and  $A_2(kl; pqrs)$  are defined by the relation

$$H_{kl} = \sum_{pq} A_1(kl; pq)[pq] + \sum_{pqrs} A_2(kl; pqrs)[pq | rs], \quad (7)$$

According to (6) every integral has to be multiplied by the sum of products of the coupling constants  $A_1$  or  $A_2$  and the components of the vector  $\mathbf{C}^{(n)}$  and then added to the appropriate component of the vector  $\mathbf{b}^{(n+1)}$ . Hence, a single reading of the list of the integrals allows us to compute the vector  $\mathbf{b}^{(n+1)}$  without the time- and memory-consuming construction of the Hamiltonian matrix.

In the original formulation of the CIMI method [1] the coupling constants are given in a tabular form. It restricts an applicability of the method to the case of  $|k\rangle$  being at most doubly excited relatively to a closed-shell reference configuration. In order to generalize the computational scheme one has to formulate an algorithm of evaluation of the coupling constants. Since most of the coupling constants are equal 0, the problem to solve is: given  $p, q$  (or  $p, q, r, s$ ) find  $k$  and  $l$  for which  $A_1(kl; pq)$  (or  $A_2(kl; pqrs)$ ) do not vanish and determine their values. The aim of the present paper is to derive a general algorithm of evaluation of the coupling constants for an arbitrary set of configurations<sup>1</sup>.

<sup>1</sup> An effective alternative method has recently been formulated by Shavitt [8]. In the Shavitt's method some graph theoretical concepts have been introduced to the unitary group approach developed by Paldus [9], resulting in a simple diagrammatical procedure for evaluation of the coupling constants.

## 2. Wavefunction

Usually the CSFs are expressed as linear combinations of Slater determinants. However, as shown by Ruedenberg and Salmon [10] and by Karwowski [11], a more compact and general formulation is possible, when CSFs are chosen as SAAPs, i.e.

$$\langle \mathbf{r}, \boldsymbol{\sigma} | k \rangle \equiv \langle \mathbf{r}, \boldsymbol{\sigma} | SM, m; \lambda \rangle = D \mathcal{A}[\langle \boldsymbol{\sigma} | SM, m \rangle \langle \mathbf{r} | \lambda \rangle], \quad (8)$$

where

$$\mathcal{A} = \frac{1}{\sqrt{N!}} \sum_{\mathcal{P}} \varepsilon(\mathcal{P}) \mathcal{P} \quad (9)$$

is the antisymmetrization operator ( $\mathcal{P}$  is an operator of permutation of the electron coordinates and  $\varepsilon(\mathcal{P})$  its parity),  $\langle \boldsymbol{\sigma} | SM, m \rangle$  is an eigenfunction of  $\mathcal{S}^2$  and  $\mathcal{S}_z$ , depending on the spin coordinates only,  $\langle \mathbf{r} | \lambda \rangle$  is the orbital part, and  $D$  is a normalization constant.

The orbital function has the form

$$\langle \mathbf{r} | \lambda \rangle = \prod_{i=1}^N \varphi_{\lambda i}(\mathbf{r}_i). \quad (10)$$

Index  $\lambda$  is an abbreviation for the set  $\{\lambda_1, \lambda_2, \dots, \lambda_N\}$  and describes the electronic configuration corresponding to a given CSF. In an electronic configuration  $\lambda$  there are, in general,  $p_\lambda$  singly and  $(N - p_\lambda)/2$  doubly occupied orbitals. A doubly occupied orbital appears twice in the product. We shall use the name *single* for a singly occupied orbital, *double* for a doubly occupied one, and *virtual* for an unoccupied orbital. In the case of singles the indices of the orbitals are designated  $s_\lambda(s_{\lambda 1}, s'_{\lambda}, \dots)$ , in the case of doubles  $d_\lambda(d_{\lambda 1}, d'_{\lambda}, \dots)$ , and in the case of virtuals  $v_\lambda(v_{\lambda 1}, v'_{\lambda}, \dots)$ . If there is no risk of confusion, the subscript  $\lambda$  is omitted. In the product (10) we always place singles in front of doubles. Moreover, the orbitals forming a double are adjacent in (10).

The spin functions  $\langle \boldsymbol{\sigma} | SM, m \rangle$  are eigenfunctions of the  $\mathcal{S}^2$  and  $\mathcal{S}_z$  operators belonging to the eigenvalues  $S(S + 1)$  and  $M$  respectively. Index  $m$  distinguishes different states with the same  $S, M$  quantum numbers. As known [12],  $m = 1, 2, \dots, f(S, N)$ , where  $f(S, N) = (2S + 1)N!/(N/2 + S + 1)!(N/2 - S)!$ , and the vectors  $|SM, m\rangle$  form a basis for an irreducible representation of the permutation group, i.e.

$$\mathcal{P} | SM, m \rangle = \varepsilon(\mathcal{P}) \sum_{n=1}^{f(S, N)} U_S^N(\mathcal{P})_{nm} | SM, m \rangle, \quad (11)$$

where  $U_S^N(\mathcal{P})$  is the irreducible representation matrix. It is convenient to choose an orthonormal set of the functions. The  $U_S^N$  matrices are then unitary. Moreover, in the following we assume that the parts of  $\langle \boldsymbol{\sigma} | SM, m \rangle$  corresponding to doubly occupied pairs form two-electron singlets. Both Yamanouchi-Kotani [12] and Serber [13] spin functions fulfill this condition. Then, for a given  $\lambda$ , there are only

$f(S, p_\lambda)$  independent spin functions. If  $\mathcal{P}^S$  denotes a permutation operator acting on the electrons occupying singles in (10), then

$$\langle SM, n | \mathcal{P}^S | SM, m \rangle = \varepsilon(\mathcal{P}^S) U_S^p(\mathcal{P}^S)_{nm}, \quad (12)$$

$$m, n = 1, 2, \dots, f(S, p) \equiv f,$$

where  $U_S^p(\mathcal{P}^S) = [U_S^N(\mathcal{P}^S)]^{f'}$ . The symbol  $[U_S^N]^{f'}$  denotes the rectangular  $f \times f'$  matrix being a part of the  $U_S^N$  matrix and consisting of the elements of its first  $f$  rows and  $f'$  columns.

### 3. Configuration State Functions

One of the configurations we define as the reference configuration. The corresponding CSF vector is designated  $|SM, m; 0\rangle$ , and its orbital part  $|0\rangle$ . It is convenient to number orbitals according to their positions in  $\langle \mathbf{r} | 0 \rangle$ , i.e. in such a way that  $s_0 = 1, 2, \dots, p, d_0 = p + 1, p + 2, \dots, (N + p)/2$ , and  $v_0 = (N + p)/2 + 1, (N + p)/2 + 2, \dots, K$ , where  $s_0, d_0$ , and  $v_0$  refer, respectively, to the singles, doubles and virtuals. The orbital function  $\langle \mathbf{r} | 0 \rangle$  is then:

$$\langle \mathbf{r} | 0 \rangle = \prod_{s=1}^p \varphi_s(s) \prod_{d=p+1}^{(N+p)/2} \varphi_d(2d - p - 1) \varphi_d(2d - p), \quad (13)$$

where  $\varphi(k) \equiv \varphi(\mathbf{r}_k)$ .

An arbitrary configuration may be considered as an excitation from the reference state. The corresponding orbital function has the form:

$$|\lambda\rangle = \mathcal{P}_\lambda \mathcal{R}_\lambda |0\rangle, \quad (14)$$

where  $\mathcal{R}_\lambda = \begin{bmatrix} i & j & k & \dots \\ a & b & c & \dots \end{bmatrix}$  is a replacement operator which puts the orbitals  $\varphi_a, \varphi_b, \varphi_c, \dots$  in place of  $\varphi_i, \varphi_j, \varphi_k, \dots$  respectively, and  $\mathcal{P}_\lambda$  is a permutation operator rearranging the electron coordinates in such a way that all the singles stand in front of the doubles in  $\langle \mathbf{r} | \lambda \rangle$ . A  $\mathcal{P}_\lambda$  permutation always exists, however it is not unique. The explicit form of  $\mathcal{P}_\lambda$ , irrelevant for the present discussion, may be determined for each particular case in a way being the most convenient from the point of view of an implementation of the method.

In order to define  $\mathcal{R}_\lambda$  in a unique way we require the orbitals to appear in each row of  $\mathcal{R}_\lambda$  in ascending order of their occupation numbers in  $|0\rangle$  and, within a group of the orbitals with the same occupation number, in ascending order of their indices, i.e.

$$\mathcal{R}_\lambda = \begin{bmatrix} s_{01} & s_{02} & \dots & s_{0k} & d_{01} & d_{02} & \dots & d_{0l} \\ v_{01} & v_{02} & \dots & v_{0m} & s'_{01} & s'_{02} & \dots & s'_{0n} \end{bmatrix}, \quad (15)$$

$$v_{01} \leq v_{02} \leq \dots \leq v_{0m}, \quad s_{01} < s_{02} < \dots < s_{0k},$$

$$s'_{01} < s'_{02} < \dots < s'_{0n}, \quad d_{01} \leq d_{02} \leq \dots \leq d_{0l}.$$

If  $d_{0i} = d_{0,i+1}$ ,  $i = 1, 2, \dots, l - 1$ , then  $d_{0i}$  corresponds to the first electron from the double and  $d_{0,i+1}$  to the second one. Moreover,  $s_{0i} \neq s'_{0j}$  for  $i = 1, 2, \dots, k$ ;  $j = 1, 2, \dots, n$ . Of course,  $k + l = m + n$ .

The formulae (8), (9), and (14) yield

$$|SM, m; \lambda\rangle = D(N!)^{-1/2} \sum_{\mathcal{P}} \varepsilon(\mathcal{P}) (\mathcal{P} | SM, m\rangle) (\mathcal{P}\mathcal{P}_\lambda \mathcal{R}_\lambda | 0\rangle), \quad (16)$$

or substituting  $\mathcal{P}$  for  $\mathcal{P}\mathcal{P}_\lambda$

$$|SM, m; \lambda\rangle = D(N!)^{-1/2} \sum_{\mathcal{P}} \varepsilon(\mathcal{P}\mathcal{P}_\lambda^+) (\mathcal{P}\mathcal{P}_\lambda^+ | SM, m\rangle) \mathcal{P} | \mathcal{R}_\lambda\rangle, \quad (17)$$

where

$$|\mathcal{R}_\lambda\rangle \equiv \mathcal{R}_\lambda | 0\rangle. \quad (18)$$

#### 4. Matrix Elements

A matrix element between two CSFs (17) is:

$$\begin{aligned} \langle SM, m; \lambda | \mathcal{H} | SM, m'; \lambda' \rangle \\ = DD' \sum_{\mathcal{P}} \varepsilon(\mathcal{P}_\lambda \mathcal{P} \mathcal{P}_\lambda^+) \langle SM, m | \mathcal{P}_\lambda \mathcal{P} \mathcal{P}_\lambda^+ | SM, m' \rangle \langle \mathcal{R}_\lambda | \mathcal{P} \mathcal{H} | \mathcal{R}_{\lambda'} \rangle. \end{aligned} \quad (19)$$

Making use of (12) we may rewrite (19) in the form:

$$H^{\lambda\lambda'} = DD' \sum_{\mathcal{P}} [U_S^N(\mathcal{P}_\lambda \mathcal{P} \mathcal{P}_\lambda^+)]^{f'f} \langle \mathcal{R}_\lambda | \mathcal{P} \mathcal{H} | \mathcal{R}_{\lambda'} \rangle \quad (20)$$

where  $f = f(S, p)$ ,  $f' = f(S, p')$ , and  $H^{\lambda\lambda'}$  is the appropriate part of the  $\mathbf{H}$  matrix. The integral over space variables may be transformed as follows [11]:

$$\langle \mathcal{R}_\lambda | \mathcal{P} \mathcal{H} | \mathcal{R}_{\lambda'} \rangle = \langle \mathcal{P}^{-1} \mathcal{R}_\lambda | \mathcal{H} | \mathcal{R}_{\lambda'} \rangle = \langle \bar{\mathcal{P}} \mathcal{R}_\lambda | \mathcal{H} | \mathcal{R}_{\lambda'} \rangle \quad (21)$$

where  $\bar{\mathcal{P}}$  is an operator acting on the orbital indices rather than on the electron coordinates and  $\langle \mathbf{r} | \bar{\mathcal{P}} \mathcal{R}_\lambda \rangle$  is the orbital product in which the orbital indices have been properly permuted. Since  $\mathcal{H}$  contains only one- and two-electron terms, then due to orthogonality of the orbitals, the integrals (21) vanish unless  $|\bar{\mathcal{P}} \mathcal{R}_\lambda\rangle$  and  $|\mathcal{R}_{\lambda'}\rangle$  differ by two or less orbitals.

The permutation which brings orbitals of  $|\mathcal{R}_\lambda\rangle$  into maximum coincidence with orbitals of  $|\mathcal{R}_{\lambda'}\rangle$  we denote  $\bar{\mathcal{P}}_R$ . It is an identity operator except the cases when both in  $\mathcal{R}_\lambda$  and in  $\mathcal{R}_{\lambda'}$  there are excitations to the same orbital from different orbitals. The permutation  $\bar{\mathcal{P}}_R$  may be easily expressed as a product of cycles when  $\mathcal{R}_\lambda$  and  $\mathcal{R}_{\lambda'}$  are given. For example, if

$$\mathcal{R}_\lambda = \begin{bmatrix} \dots a \dots e \dots c \dots \\ \dots x \dots y \dots z \dots \end{bmatrix}$$

and

$$\mathcal{R}_{\lambda'} = \begin{bmatrix} \dots b \dots f \dots e \dots \\ \dots x \dots y \dots z \dots \end{bmatrix},$$

then

$$\mathcal{P}_R = (j_a j_b)(j_c j_e j_f),$$

where  $(j_c j_e j_f)$  is a cycle and  $j_a$  is the consecutive number of the electron described by  $\varphi_a$  in the product (13), i.e.

$$j_a = \begin{cases} a, & \text{if } a = s_{0i}, \quad i = 1, 2, \dots, k; \quad \text{i.e. if } a \leq p, \\ 2a - p - 1, & \text{if } a = d_{0i} \text{ and } i = 1, \text{ or } d_{0i} \neq d_{0,i-1}, \\ & i = 2, 3, \dots, l, \\ 2a - p, & \text{if } a = d_{0i} \text{ and } d_{0i} = d_{0,i-1}, \quad i = 2, 3, \dots, l. \end{cases}$$

We assumed here that when only one electron is excited from a double then it is the first electron. The permutation

$$\bar{\mathcal{P}}_0 = \mathcal{P}_\lambda \bar{\mathcal{P}}_R \mathcal{P}_\lambda^+ \quad (22)$$

is identical with the line-up permutation defined by Ruedenberg and Salmon [10] and with the reference permutation introduced by Karwowski [11].

Let  $n(p)$  and  $n'(p)$  be the occupation numbers of the orbital  $\varphi_p$  in  $\langle r | \lambda \rangle$  and in  $\langle r | \lambda' \rangle$  respectively. The number of orbitals by which the functions  $\langle r | \bar{\mathcal{P}}_R \mathcal{R}_\lambda \rangle$  and  $\langle r | \mathcal{R}_{\lambda'} \rangle$  differ is equal to

$$\rho = \frac{1}{2} \sum_{p=1}^K |n(p) - n'(p)|. \quad (23)$$

Since the orbitals form an orthonormal set and only one- and two-electron operators appear in the Hamiltonian (2), the matrix elements (20) vanish unless  $\rho = 2, 1, 0$ . After some algebra ([10], [11]) we obtain:

1.  $\rho = 2$ .

Let, respectively,  $\varphi_p$  and  $\varphi_r$  be the orbitals which in  $\langle r | \bar{\mathcal{P}}_R \mathcal{R}_\lambda \rangle$  occupy the same positions as  $\varphi_q$  and  $\varphi_s$  in  $\langle r | \mathcal{R}_{\lambda'} \rangle$ . For our purposes it is convenient to individualize three cases:

a) The indices  $p, q, r, s$  are all different. The formula (20) yields

$$H^{\lambda\lambda'} = 2^u \{ [U_S^N(\bar{\mathcal{P}}_0)]^{f'f'} [pq | rs] + [U_S^N(\bar{\mathcal{P}}_1)]^{f'f'} [ps | rq] \} \quad (24)$$

where

$$u = (n(p) + n(r) + n'(q) + n'(s))/2 - 2 \quad (25)$$

and

$$\bar{\mathcal{P}}_1 = \mathcal{P}_\lambda(k_p k_r) \bar{\mathcal{P}}_R \mathcal{P}_\lambda^+ \quad (26)$$

with  $k_p$  and  $k_r$ , respectively, equal to the consecutive numbers of the electrons described by  $\varphi_p$  and  $\varphi_r$  in  $\langle r | \mathcal{R}_\lambda \rangle$ .

b) Either  $p = r$  and  $q \neq s$  or  $p \neq r$  and  $q = s$ . In this case

$$H^{\lambda\lambda'} = 2^{u-1/2} [U_S^N(\bar{\mathcal{P}}_0)]^{f'f'} [pq | rs]. \quad (27)$$

c) Both  $p = r$  and  $q = s$ . Then

$$H^{\lambda\lambda'} = [U_S^N(\bar{\mathcal{P}}_0)]^{f'f'} [pq | pq]. \quad (28)$$

2.  $\rho = 1$

Let  $\varphi_p$  occupy the same position in  $\langle \mathbf{r} | \bar{\mathcal{P}}_R \mathcal{R}_\lambda \rangle$  as  $\varphi_q$  in  $\langle \mathbf{r} | \mathcal{R}_\lambda \rangle$ . Then from (20) results

$$\begin{aligned} H^{\lambda\lambda'} = 2^t \sum_{\substack{m=1 \\ (m \neq p, m \neq q)}}^K n(m) \{ [U_S^N(\bar{\mathcal{P}}_0)]^{f'f'} [pq | mm] + [U_S^N(\bar{\mathcal{P}}_2)]^{f'f'} [pm | mq] \} \\ + 2^t [U_S^N(\bar{\mathcal{P}}_0)]^{f'f'} \{ [pq] + n(q)[pq | qq] + (n(p) - 1)[pq | pp] \}, \end{aligned} \quad (29)$$

where

$$t = (n(p) + n'(q))/2 - 1 \quad (30)$$

and

$$\bar{\mathcal{P}}_2 = \mathcal{P}_\lambda(k_p k_m) \bar{\mathcal{P}}_R \mathcal{P}_\lambda^+. \quad (31)$$

3.  $\rho = 0$

In this case  $\langle \mathbf{r} | \mathcal{R}_\lambda \rangle = \langle \mathbf{r} | \mathcal{R}_{\lambda'} \rangle$  and

$$\begin{aligned} H^{\lambda\lambda} = \sum_{l < m}^K n(l)n(m) \{ [mm | ll] + [U_S^N(\mathcal{P}_\lambda(k_l k_m) \mathcal{P}_\lambda^+)]^{f'f'} [ml | lm] \} \\ + \sum_{m=1}^K n(m) \{ [mm] + \frac{1}{2}(n(m) - 1)[mm | mm] \}. \end{aligned} \quad (32)$$

As it is seen, in order to determine the matrix elements of  $\mathcal{H}$ , only the blocks  $[U_S^N]^{f'f'}$  corresponding to single transpositions, to  $\mathcal{P}_0$ , and to the permutations  $\mathcal{P}_1$  and  $\mathcal{P}_2$ , being composed of  $\mathcal{P}_0$  and a transposition, are needed. The blocks may either be constructed directly for each permutation [10] or obtained by a matrix multiplication of the  $U_S^p$  matrices (12) corresponding to transpositions, constructed in advance and stored in the computer memory [11]. In both the cases an advance reduction of  $[U_S^N(\mathcal{P})]^{f'f'}$  to  $U_S^p(\mathcal{P}^s)$  greatly simplifies the numerical work. An algorithm for this kind of reduction is given in Refs. [11] and [12].

## 5. Two-Electron Integrals

We assume that all the two-electron integrals (4) are real. The case when they are complex may be treated in a slightly modified way. The two-electron integrals may be divided into 5 groups:

1. *All the indices  $p, q, r, s$  are different.* The integrals of this group couple configurations differing by two orbitals. The matrix element (24) is always a combination of two integrals:  $[pq | rs]$  and  $[ps | rq]$ . Therefore it is convenient to group these

integrals into pairs. Since  $\mathcal{H}_2(\mathbf{r}_1, \mathbf{r}_2)$  is Hermitean and symmetric in electron coordinates, the following relations hold:

$$[pq | rs] = [rs | pq] = [qp | sr] = [sr | qp]. \quad (33)$$

Hence, among  $4! = 24$  integrals corresponding to a given set of four different orbitals, only 6 are different:

$$\begin{aligned} J_1(pqrs) &= [pq | rs], & J'_1(pqrs) &= [ps | rq], \\ J_2(pqrs) &= [pr | sq], & J'_2(pqrs) &= [pq | sr], \\ J_3(pqrs) &= [ps | qr], & J'_3(pqrs) &= [pr | qs], \end{aligned} \quad (34)$$

where  $J_i$  and  $J'_i$  appear in the same matrix element. In the following we use the symbols  $J_i, J'_i, i = 1, 2, 3$ , if we refer to an arbitrary integral belonging to the set of 4 identical ones, while  $[pq | rs]$  denotes a specific integral.

A very important special case is when the orbitals are real and  $\mathcal{H}_2(\mathbf{r}_1, \mathbf{r}_2)$  is a function of the electron coordinates. Then  $J_1 = J'_2, J_2 = J'_3$  and  $J_3 = J'_1$ .

There are many distributions of the orbitals  $\varphi_p, \varphi_q, \varphi_r, \varphi_s$  in the configurations  $\langle \mathbf{r} | \lambda \rangle$  and  $\langle \mathbf{r} | \lambda' \rangle$  leading to a coupling of these configurations by a pair of  $J_1(pqrs), J'_1(pqrs)$  integrals. To choose one of the distributions we assume that  $\langle \mathbf{r} | \lambda \rangle$  is the parent configuration for  $\varphi_p$  and for  $\varphi_r$ , and  $\langle \mathbf{r} | \lambda' \rangle$  for  $\varphi_q$  and for  $\varphi_s$ . Moreover, let  $n'(q) \leq n'(s), n(p) \leq n(r)$  and let  $\mathcal{P}_0$  line up  $\varphi_p$  with  $\varphi_q$  and  $\varphi_r$  with  $\varphi_s$ . It means that  $\mathcal{P}_0$  places singles (doubles) of  $\langle \mathbf{r} | \lambda \rangle$  at the positions occupied by singles (doubles) in  $\langle \mathbf{r} | \lambda' \rangle$  whenever it is possible. Since all the orbitals, except  $\varphi_p, \varphi_q, \varphi_r, \varphi_s$ , are the same in both configurations, the occupation numbers fulfill the following equations:

$$\begin{aligned} n(p) - n'(p) &= n(r) - n'(r) = 1 \\ n(q) - n'(q) &= n(s) - n'(s) = -1. \end{aligned} \quad (35)$$

Finally we set  $\langle \mathbf{r} | \lambda \rangle$  to be the configuration in which the number of singles is not greater than in  $\langle \mathbf{r} | \lambda' \rangle$ . It means that

$$n(p) + n(r) \leq n'(q) + n'(s). \quad (36)$$

The system of Eqs. (35) together with the conditions imposed upon  $n$  and  $n'$  gives 6 different sets of the occupation numbers of  $\varphi_p, \varphi_q, \varphi_r, \varphi_s$  in the configurations which may be coupled by the pair of  $[pq | rs]$  and  $[ps | rq]$  integrals, with  $[pq | rs]$  being the one which in Eq. (24) is multiplied by  $[U_S^N(\overline{\mathcal{P}}_0)]^{f'f}$ . All these sets are collected in Table 1 (entries Nos. 1-6). There exists a simple correspondence between the sets of the occupation numbers and the configuration pair diagrams defined in [11] or the cases considered by Sarma and Rettrup [14]. The references are given in the last two columns in Table 1.

2. *Two of the indices are equal.* For a given set of the indices there are  $4!/2 = 12$  integrals. Due to relations

$$\begin{aligned} J_1(pqps) &= J'_1(pqps) = [pq | ps] = [ps | pq] = [qp | sp] = [sp | qp] \\ J_2(pqps) &= J'_3(pqps) = [pp | qs] = [qs | pp] = [pp | sq] = [sq | pp] \\ J_3(pqps) &= J'_2(pqps) = [qp | ps] = [ps | qp] = [sp | pq] = [pq | sp] \end{aligned} \quad (37)$$



**Table 1.** Occupation numbers of  $\varphi_p$ ,  $\varphi_q$ ,  $\varphi_r$  and  $\varphi_s$  in the case of  $\rho = 2$ 

No.	$n(p)$	$n(r)$	$n'(q)$	$n'(s)$	$n'(p)$	$n'(r)$	$n(q)$	$n(s)$	DN <sub>o</sub> . <sup>a</sup>	CN <sub>o</sub> . <sup>b</sup>
1	1	1	1	1	0	0	0	0	1	A9
2	1	1	1	2	0	0	0	1	7	A10
3	1	1	2	2	0	0	1	1	11	A12
4	1	2	1	2	0	1	0	1	3	A11
5	1	2	2	2	0	1	1	1	9	A13
6	2	2	2	2	1	1	1	1	6	A14
7	2	—	1	1	0	—	0	0	8	A8
8	2	—	1	2	0	—	0	1	4,5	A7
9	2	—	2	2	0	—	1	1	10	A6
10	2	—	2	—	0	—	0	—	2	A5

<sup>a</sup> Diagram number according to Ref. [11].

<sup>b</sup> Case number according to Ref. [14].

only 3 of them are different. The integral  $J_1$  couples configurations differing by two orbitals, according to formula (27), while both  $J_2$  and  $J_3$  appear in the same matrix element (29), for configurations differing by one orbital.

Let us consider a pair of configurations coupled by a  $[pq | ps]$  integral. Since  $n(p) = 2$ ,  $n'(p) = 0$  and we assume that  $n(q) \leq n(s)$ , only 3 different sets of the occupation numbers of  $\varphi_p$ ,  $\varphi_q$ , and  $\varphi_s$  in  $\langle r | \lambda \rangle$  and in  $\langle r | \lambda' \rangle$  are possible. They are collected in Table 1, entries Nos. 7–9. The same occupation numbers of  $\varphi_q$  and  $\varphi_s$  are allowed in the case of  $[pp | qs]$  and  $[qp | ps]$  integrals. However  $n(p) = n'(p) = 1, 2$  in this case.

3. *Two pairs of equal indices.* There are  $4!/4 = 6$  integrals for a given set of indices:

$$\begin{aligned}
 J_1(pqpq) &= J'_1(pqpq) = [pq | pq] = [qp | qp] \\
 J_2(pqpq) &= J'_3(pqpq) = [pp | qq] = [qq | pp] \\
 J_3(pqpq) &= J'_2(pqpq) = [qp | pq] = [pq | qp].
 \end{aligned} \tag{38}$$

The integrals  $J_1(pqpq)$  couple configurations differing by two orbitals (28) with  $n(p) = n'(q) = 2$ ,  $n'(p) = n(q) = 0$  (Table 1, entry No. 10), while  $J_2(pqpq)$  and  $J_3(pqpq)$  may couple only two identical configurations (formula (32)) with  $n(p) = n'(p) = 1, 2$  and  $n(q) = n'(q) = 1, 2$ .

4. *Three indices are equal.* For a given set of indices one may form four integrals:

$$[pq | qq] = [qp | qq] = [qq | pq] = [qq | qp]. \tag{39}$$

The  $[pq | qq]$  integral couples two configurations differing by one orbital (according to formula (29)) if  $n(q) = 1$ ,  $n'(q) = 2$ ,  $n(p) = 1, 2$ , and  $n'(p) = n(p) - 1$ .

5. *All the indices are equal.* The integral  $[pp | pp]$  appears only in diagonal elements of  $\mathbf{H}$ , coupling two identical configurations for which  $n(p) = 2$ .

## 6. The Coupling Constants

In order to evaluate the coupling constants, first of all the pairs of configurations which may be coupled by the  $[pq | rs]$  integral must be determined. It means that

we have to find the operators  $\mathcal{R}_\lambda$  and  $\mathcal{R}_{\lambda'}$ , leading to the configurations  $\lambda$  and  $\lambda'$  in which the occupation numbers of  $\varphi_p, \varphi_q, \varphi_r, \varphi_s$  satisfy conditions derived in the previous section. In general, in a list of integrals, only one representative of a set of equivalent integrals  $J_i(pqrs)$  is included. Therefore we shall extend the Table 1 in order to take into account all the configurations which may be coupled by any of the integrals belonging to the set.

Since the  $H$  matrix (7) is Hermitean, we assume that the configurations forming a pair coupled by  $J_i(pqrs)$  integral are always put in a definite order. If  $p_\lambda \neq p_{\lambda'}$ , then in the matrix element  $H^{\lambda\lambda'}$ , we set  $\lambda$  to be the configuration for which the number of singles is greater. If  $p_\lambda = p_{\lambda'}$ , but  $n(p) \neq n'(p)$ , then  $\lambda$  is the configuration for which the occupation number of  $\varphi_p$  is greater. If  $p_\lambda = p_{\lambda'}$  and  $n(p) = n'(p)$ , but  $n(q) \neq n'(q)$  then  $\lambda$  is the configuration, for which the occupation number of  $\varphi_q$  is greater.

It is convenient to introduce a permutation operator  $\mathcal{P}_j$  acting on the orbital indices in an integral. In the case of all the indices  $p, q, r, s$  different, the relation (33) may be rewritten in the form:

$$J_1 = [12 | 34] = (13)(24)[12 | 34] = (12)(34)[12 | 34] = (14)(23)[12 | 34]$$

and similarly

$$J'_1 = (24)J_1, J_2 = (234)J_1, J'_2 = (34)J_1, J_3 = (432)J_1, J'_3 = (23)J_1.$$

The indices 1, 2, 3, 4 are used here instead of  $p, q, r, s$  for simplicity of the notation and correspond to four arbitrary orbitals. Then, the occupation numbers of  $\varphi_1, \varphi_2, \varphi_3, \varphi_4$  in the configurations coupled by, say, the  $[34 | 12] = (13)(24)[12 | 34]$  integral may be obtained transposing the indices of the orbitals in Table 1. The distributions of the occupation numbers in configurations which may be coupled by the pair of integrals  $J_1(pqrs)$  and  $J'_1(pqrs)$ , according to Eq. (24), are collected in Table 2. In the second column the permutation  $\mathcal{P}_j$  defining the two-electron integral is given. The occupation numbers corresponding to the cases of  $p_\lambda = p_{\lambda'}$  and  $n(p) < n'(p)$  are given in parentheses. Now the coupling constants may be obtained according to formulae (24)–(26), if  $u, \bar{\mathcal{P}}_0 = \mathcal{P}_\lambda \bar{\mathcal{P}}_R \mathcal{P}_{\lambda'}^+$ , and  $\bar{\mathcal{P}}_1 = \mathcal{P}_\lambda(k_x k_y) \bar{\mathcal{P}}_R \mathcal{P}_{\lambda'}^+$  are known. The permutations  $\mathcal{P}_\lambda, \mathcal{P}_{\lambda'}$ , and  $\mathcal{P}_R$  are easy to derive from  $\mathcal{R}_\lambda$  and  $\mathcal{R}_{\lambda'}$ . The transpositions  $(k_x k_y)$  and the values of  $u$  are given in Table 2. In a similar way the sets of the occupation numbers which determine configurations coupled by the pairs of integrals  $J_2(pqrs), J'_2(pqrs)$  and  $J_3(pqrs), J'_3(pqrs)$  may be obtained.

In the case of integrals with one pair of equal indices, as a result of a similar consideration, we obtain 4 different sets of the occupation numbers if the configurations are coupled according to Eq. (27), i.e. by  $J_1(pqps)$ , and 8 sets if they are coupled according to Eq. (29) by the pairs of  $J_2(pqps), J_3(pqps)$  integrals. All these sets and  $(k_x k_p)$  transpositions determining  $\bar{\mathcal{P}}_2 = \mathcal{P}_\lambda(k_x k_p) \bar{\mathcal{P}}_R \mathcal{P}_{\lambda'}^+$  (compare (31)) as well as the values of  $u$  and  $t$  are collected in Table 3.

If there are two pairs of equal indices in an integral, then  $J_1(pqpq)$  couples configurations according to (28), while  $J_2(pqpq)$  and  $J_3(pqpq)$  couple according to (32). The occupation numbers for these cases are displayed in Table 4. In the same table the

**Table 2.** Occupation numbers of  $\varphi_p, \varphi_q, \varphi_r$  and  $\varphi_s$  in configurations coupled by the integrals  $J_1(pqrs)$  and  $J'_1(pqrs)$

No.	$\mathcal{P}_i$	$n(p)$	$n(q)$	$n(r)$	$n(s)$	$n'(p)$	$n'(q)$	$n'(r)$	$n'(s)$	$(k_x k_y)$	$u$
1	$\mathcal{J}, (13)(24)$	1	0	1	0	0	1	0	1	$(k_p k_r)$	0
	$(12)(34), (14)(23)$	0	1	0	1	1	0	1	0		
2	$\mathcal{J}$	1	0	1	1	0	1	0	2	$(k_p k_r)$	1/2
	$(12)(34)$	0	1	1	1	1	0	2	0	$(k_q k_s)$	
	$(13)(24)$	1	1	1	0	0	2	0	1	$(k_p k_r)$	
	$(14)(23)$	1	1	0	1	2	0	1	0	$(k_q k_s)$	
3	$\mathcal{J}, (13)(24)$	1	1	1	1	0	2	0	2	$(k_p k_r)$	1
	$(12)(34), (14)(23)$	1	1	1	1	2	0	2	0	$(k_p k_r)$	
4	$\mathcal{J}$	1	0	2	1	0	1	1	2	$(k_p k_r)$	1
	$(12)(34)$	0	1	1	2	1	0	2	1		
	$(13)(24)$	2	1	1	0	1	2	0	1	$(k_p k_r)$	
	$(14)(23)$	1	2	0	1	2	1	1	0		
5	$\mathcal{J}$	1	1	2	1	0	2	1	2	$(k_p k_r)$	3/2
	$(12)(34)$	1	1	1	2	2	0	2	1	$(k_q k_s)$	
	$(13)(24)$	2	1	1	1	1	2	0	2	$(k_p k_r)$	
	$(14)(23)$	1	2	1	1	2	1	2	0	$(k_q k_s)$	
6	$\mathcal{J}, (13)(24)$	2	1	2	1	1	2	1	2	$(k_p k_r)$	2
	$(12)(34), (14)(23)$	1	2	1	2	2	1	2	1		

occupation numbers and the values of  $t$  for the case of three equal indices and for the case of coupling by one-electron integrals (Eq. (29)) are given. If all the indices are equal, then either  $n(p) = n'(p) = 2$  (if the configurations are coupled by  $[pp | pp]$ ) or  $n(p) = n'(p) = 1, 2$  (if they are coupled by  $[pp]$ ).

Now we have to find  $\mathcal{R}_\lambda$  operators leading to configurations for which occupation numbers of certain orbitals are as given in Tables 2, 3 and 4. Let  $n_0(p)$  be the occupation number of  $\varphi_p$  in  $\langle r | 0 \rangle$ . Then the absolute value of

$$\Delta(p) = n(p) - n_0(p) \tag{40}$$

**Table 3.** Occupation numbers of  $\varphi_p, \varphi_q$  and  $\varphi_s$  in configurations coupled by  $J_i(pqps), i = 1, 2, 3$

$n(p)$	$n(q)$	$n(s)$	$n'(p)$	$n'(q)$	$n'(s)$	$(k_x k_p)$	$i$	$u$	$t$
0	1	1	2	0	0	—		1	
2	0	1	0	1	2	—	1	3/2	
2	1	0	0	2	1	—		3/2	
2	1	1	0	2	2	—		2	
1	1	0	1	0	1				0
2	1	0	2	0	1				0
1	2	1	1	1	2				1
2	2	1	2	1	2	$(k_q k_p)$			1
1	1	1	1	0	2		2,3		1/2
2	1	1	2	0	2				1/2
1	1	1	1	2	0				1/2
2	1	1	2	2	0	$(k_s k_p)$			1/2

**Table 4.** Occupation numbers of  $\varphi_p$  and  $\varphi_q$  in configurations coupled by  $J_i(pqpq), J_i(pqqq), i = 1, 2, 3$ , and by  $[pq]$

$n(p)$	$n(q)$	$n'(p)$	$n'(q)$	integral	$t$
2	0	0	2	$J_1(pqpq)$	
1	1	1	1		
1	2	1	2		
2	1	2	1	$J_2(pqpq), J_3(pqpq)$	
2	2	2	2		
1	1	0	2		1/2
2	1	1	2	$J_i(pqqq), i = 1, 2, 3$	1
1	0	0	1		0
1	1	0	2		1/2
1	1	2	0	$[pq]$	1/2
2	1	1	2		1

is equal to the number of times the index  $p$  appears in  $\mathcal{R}_\lambda$ —in the upper row if  $\Delta(p) > 0$  and in the lower one if  $\Delta(p) < 0$ . Similar conditions are fulfilled for all the other orbitals involved in the integral under consideration. All the other symbols in  $\mathcal{R}_\lambda$  may be arbitrary, limited only by the list of orbitals and by the maximum multiplicity of excitations taken into account. When both  $\mathcal{R}_\lambda$  and  $\mathcal{R}_{\lambda'}$  are determined, then we may easily obtain  $\mathcal{P}_R$  and  $\mathcal{P}_0, \mathcal{P}_1, \mathcal{P}_2$ . In this way we have the complete information needed to evaluate the coupling constants and to assign them to appropriate pairs of configurations.

## 7. Remarks on an Implementation of the Method

The problems connected with an efficient programming of the CIMI method may be divided into two groups: searching for interacting configurations when a two-electron integral is given, and calculating the coupling constant for a given pair of configurations. Although an optimum solution of the problems depends on specific features of the available computer (size of the core store, cost of the CPU time vs. cost of the memory occupancy, efficiency and cost of using tapes, etc.), we can formulate some general remarks on an implementation of the presented algorithm.

### 7.1. Searching for Interacting Configurations

The configurations which may be coupled by a given two-electron integral are determined by the operators  $\mathcal{R}_\lambda$  and  $\mathcal{R}_{\lambda'}$ . In the case of an  $m$ -fold excited configuration the operator is defined by  $2m$  orbital indices. Some of the indices (at most 4) enter the two-electron integral and, for a given integral, are fixed. The remaining indices, being the same in both  $\mathcal{R}_\lambda$  and  $\mathcal{R}_{\lambda'}$ , and determined by the conditions specified in Tables 1–4, are varied to accomplish the list of configurations. In the existing programs for the direct CI method (a review has recently been given by Roos [15]) only doubly excited configurations are allowed and, in consequence, at most two indices are varied. It means that in the program each integral is associated

with at most one double loop over the orbital indices. An analogous procedure may also be applied in the present generalization, except that interactions of  $m$ -fold with  $m'$ -fold excited configurations lead, in the case of  $\rho = 2$ , to at most  $(m + m' - 2)$ -fold loops over the orbital indices. An example of the loop structure for the case No. 1, Table 2, and for doubly excited configurations was recently discussed by Roos [15].

## 7.2. Calculation of the Coupling Constants

In order to make the procedure of evaluation of the coupling constants very efficient, most of the representation matrices  $[U_N^S(\mathcal{P})]^{sr}$  should be kept in the core memory. If the maximum number of singles does not exceed 6, the representation matrices are at most  $9 \times 9$  (for triplets). The required storage is then  $9 \times 9 \times 6! = 58320$  double precision words, what seems rather unreasonable. However, all the elements of the representation matrices are square roots of simple fractions and one may keep all the different numbers met in the representation matrices in a small auxiliary array. Then, instead of the elements of  $U_N^S$  one may store their addresses in the auxiliary array as half-word integers. The necessary storage may be further reduced if symmetry properties of the matrices are taken into account. The simplest ones result from identities:  $(kl)^\dagger = (kl)$ ,  $((kl)(ln))^\dagger = (ln)(kl)$ , etc., and reduce the necessary storage by factor 2. It gives a reasonable amount of 60 kbytes of the core store for triplets with at most 6 singles. In order to deal with cases involving a larger number of singles one may store only some matrices, e.g. the ones corresponding to single transpositions and to products of two transpositions. Since products of more than two transpositions are needed relatively seldom, the corresponding matrices may be generated by matrix multiplications.

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